

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

IUPPA et al.

Serial No.: 10/036,107

Filed: November 9, 2001

Examiner: (not yet assigned)

Atty. Docket No.: 01-592-US



METHOD AND APPARATUS FOR
ADVANCED LEADERSHIP TRAINING
SIMULATION

Commissioner for Patents
BOX MISSING PARTS
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the Notice To File Missing Parts Of
Application - Filing Date Granted mailed on February 25, 2002, a
copy of which is enclosed, Applicants hereby submit the
following:

1. ☒ Three original Combined Declaration And Power Of
Attorney documents, executed in counterpart.
2. ☒ Executed Assignments of the application to ALTSIM, Inc.
and to the University of Southern California and
assignment recordation cover sheets.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

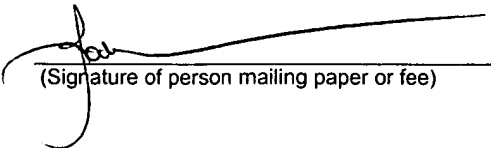
☒ deposited with the United States Postal Service on April 4,
2002, with sufficient postage as first-class mail in an envelope
addressed to **BOX MISSING PARTS**, Commissioner for Patents,
Washington, DC 20231.

FACSIMILE

☐ transmitted by facsimile on [date] to the U.S. Patent and
Trademark Office.

Jody L. Burtner

Type Signature Name


(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

3. ☐ A Verified Statement claiming small entity status
- ☐ is attached.
- ☐ has been filed.
- ☐ A separate refund request accompanies this paper.

4. ☒ The filing fee is calculated below.

FOR	(Col. 1)	(Col. 2)	SMALL ENTITY			OTHER THAN	
	NO. ON FILE	NO. EXTRA	RATE	FEE		A SMALL ENTITY	RATE FEE
Basic Fee	////////	////////	////	\$370	OR	////	\$740
Total	39	19	x \$ 9	= \$	OR X	\$ 18	= \$342
Claims							
Ind. Claims	5	2	x \$ 42	= \$	OR X	\$ 84	= \$168
<input type="checkbox"/> Multiple	Dependent		x \$140	= \$	OR +	\$280	= \$0
Claims	Presented						
<u>TOTAL</u>				= \$	OR	<u>TOTAL</u>	= \$1250

5. The surcharge for late payment of the filing fee and/or the late filing of an original Declaration is:

☐ \$65.00 (small entity) ☒ \$130.00 (large entity)

6. Fee Payment Being Made:

☒ Enclosed

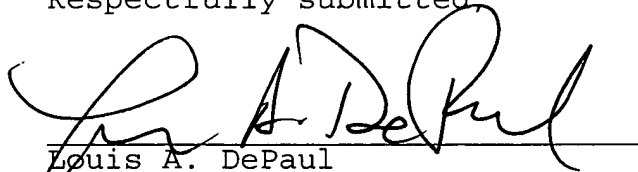
<input checked="" type="checkbox"/>	Filing fee	\$ 1250.00
<input checked="" type="checkbox"/>	Surcharge	\$ 130.00
<input type="checkbox"/>	Extension fee (Petition enclosed)	
<input checked="" type="checkbox"/>	Recording Assignment	\$80.00

Total fees due \$ 1460.00

7. ☒ A check in the amount of \$1380.00 to cover the filing fee, extra claims fees and surcharge is enclosed.
8. ☒ A check in the amount of \$80.00 to cover the assignment recordation fee is enclosed.
9. ☐ The Director is authorized to charge the \$ surcharge to Deposit Account No. 18-0582.

10. ☒ The Director is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this paper is attached.

Respectfully submitted



Louis A. DePaul
Reg. No. 28,407

Dated: April 4, 2002

REED SMITH LLP
P.O. Box 488
Pittsburgh, PA 15230-0488
(412) 288-3054

Attorney for Applicant



April 4, 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, DC 20231

Re: Applicants: IUPPA et al.
Title: METHOD AND APPARATUS FOR ADVANCED
LEADERSHIP TRAINING SIMULATION
Serial No.: 10/036,107
Filed: November 9, 2001
Docket No.: 01-592-US

Dear Sir:

Enclosed are the following for filing in connection with the above application:

1. A Response to Notice to File Missing Parts - Filing Date Granted;
2. Three original Declaration and Power of Attorney documents, executed in counterpart;
3. A check in amount of \$1380.00 representing the filing fee, extra claims fees and the surcharge;
4. A copy of the Notice to File Missing Parts;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

MAILED

☒ Deposited with the United States Postal Service on April 4, 2002, with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Jody L. Burtner

(Signature of person mailing paper or fee)

FACSIMILE

☐ Transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

Type Signature Name

(Signature of person mailing paper or fee)

P.O. Box 488
Pittsburgh, PA 15230-0488
412.288.3131
Fax 412.288.3063
Delaware
New Jersey
New York
Pennsylvania
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Virginia
Washington, DC

reedsmith.com

BOX MISSING PARTS

April 4, 2002

Page 2

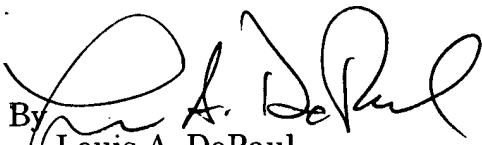
ReedSmith

5. Two original Assignments, executed in counterpart, with completed Recordation Form Cover Sheet – Patents Only;
6. A second check in the amount of \$40.00, representing the assignment recordation fee;
7. An original Assignment, with completed Recordation Form Cover Sheet – Patents Only;
8. A third check in the amount of \$40.00, representing the assignment recordation fee for the second Assignment
9. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is authorized to charge any additional payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

REED SMITH LLP

By 
Louis A. DePaul
Reg. No. 28,407

cc: Kimberly J. Fitzgerald, Esq. (w/encl.)
Ms. Dina L. Lozofsky (w/encl.)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,107	11/09/2001	Iuppa	01-592-US

LOUIS A. DEPAUL
Reed Smith LLP
P.O. Box 488
Pittsburgh, PA 15230-0488



CONFIRMATION NO. 2941
FORMALITIES LETTER



OC00000007530386

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$510.
 - \$342 for 19 total claims over 20.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1380.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SFELERE1 00000048 10036107
740.00 DP
168.00 DP
342.00 DP
130.00 DP
01 FC:101
02 FC:102
03 FC:103
04 FC:105